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**DEPOSITION OF
(Y₂BaCuO₅/YBa₂Cu₃O_{7-x}) × N
MULTILAYER FILMS ON Ni-BASED
TEXTURED SUBSTRATES**



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14. ABSTRACT Deposition of (Y ₂ BaCuO _{5-0.5 nm} /YBa ₂ Cu ₃ O _{7-x-15 nm}) X N multilayer films on rolling-assisted biaxially textured Nialloy (RABiTS™) substrates was investigated, as a new candidate coated conductor architecture for improved flux pinning. Significant enhancements of critical current density (J_c) > 6-fold were measured for applied magnetic fields up to 7 T at 77 K, for multilayer films compared to YBa ₂ Cu ₃ O _{7-x} —only films. By comparing $J_c(H)/J_c(0$ T) plots of films deposited on RABiTS and single-crystal substrates, the relative increase of $J_c(H)$ from pinning was the same as measured on both substrates. This indicates the varying microstructural properties of the RABiTS templates were, on average, not adversely affecting the pinning enhancements.					
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Deposition of $(Y_2BaCuO_5/YBa_2Cu_3O_{7-x}) \times N$ multilayer films on Ni-based textured substrates

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Abstract

Deposition of $(Y_2BaCuO_{5-0.5\text{ nm}}/YBa_2Cu_3O_{7-x\sim 15\text{ nm}}) \times N$ multilayer films on rolling-assisted biaxially textured Ni-alloy (RABiTSTM) substrates was investigated, as a new candidate coated conductor architecture for improved flux pinning. Significant enhancements of critical current density (J_c) > 6-fold were measured for applied magnetic fields up to 7 T at 77 K, for multilayer films compared to $YBa_2Cu_3O_{7-x}$ —only films. By comparing $J_c(H)/J_c(0\text{ T})$ plots of films deposited on RABiTS and single-crystal substrates, the relative increase of $J_c(H)$ from pinning was the same as measured on both substrates. This indicates the varying microstructural properties of the RABiTS templates were, on average, not adversely affecting the pinning enhancements.

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1. Introduction

The development of $YBa_2Cu_3O_{7-x}$ (YBCO or 123) thick films on buffer-coated Ni-alloy sub-

strates (coated conductors) with $J_c > 1\text{ MA/cm}^2$ offers great promise for incorporation into power applications such as generators or motors, operating at 77 K [1–13]. The RABiTS process is used to introduce a high degree of grain alignment in the Ni-alloys, that is epitaxially transferred into the buffer and 123 film layers [7–10]. Efforts to improve the J_c of coated conductors by increasing

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flux pinning have only recently been initiated [2,3]. This paper presents new improvements of flux pinning of coated conductors, by applying a recently developed method to incorporate dispersions of Y_2BaCuO_5 (211) nanoparticles into YBCO by multilayer deposition [14,15].

Growth of $(211/123) \times N$ multilayer films was shown to increase J_c (77 K, ~ 1 – 2 T) of YBCO by a factor of 2–3, when deposited on single crystal substrates [14,15]. The $(211/123) \times N$ films are a superlattice-type structure of alternating layers of 123 and 211 ‘pseudo-layers’ containing discontinuous 211 nanoparticles that deposit by the island growth method. The 211 nanoparticle size is as small as ~ 8 nm with areic number density estimated as $>4 \times 10^{11}$ particles/cm² [15]. The resulting composite structure is essentially a layered dispersion of non-superconducting 211 nanoparticles inside a 123 matrix.

The issues of how $(211/123) \times N$ films will deposit onto RABiTS substrates have not been addressed thus far. RABiTS substrates have unique features compared to single crystal substrates which affect the growth and properties of films deposited on them: Ni grains that are not completely *c*-axis oriented but tilted out-of-the-plane typically ± 0 – 8° , and higher angle grain boundaries (GBs) typically with total misorientations of 0 – 7° . Grain boundaries with in-plane misorientations greater than 5° are expected to result in reduced critical currents, whereas lower-angle GBs may in-fact act as flux-pinning sites. The GBs are multifaceted structures at the intersection of irregular-shaped grains that are misaligned both in-the-plane and tilted out-of-the-plane. How these Ni-alloy template structures affect the $(211/123) \times N$ composite film coatings will be presented in this paper.

2. Experimental

Buffered $\text{Ni}_{0.97}\text{W}_{0.03}$ (Ni–W) substrates were prepared at Oak Ridge National Laboratory (ORNL) and American Superconductor Corporation (AMSC) using similar procedures [11], and buffered Ni substrates were prepared at Air Force Research Laboratory (AFRL) [16]. The buffer

layer architecture on Ni–W was a cap layer of CeO_2 25 nm thick, an intermediate layer of YSZ 200 nm thick, and a seed layer of Y_2O_3 30 nm thick. Y_2O_3 layers were deposited by reactive electron beam evaporation, and YSZ and CeO_2 layers were deposited by an RF magnetron sputtering process [11,16]. Buffered Ni substrates were prepared with a cap layer of CeO_2 ~ 70 nm thick, an intermediate layer of YSZ ~ 500 nm thick, and a seed layer of CeO_2 ~ 70 nm, using pulsed laser deposition (PLD) for all buffer layers [16].

Multilayer $(211/123) \times N$ and 123 films were deposited onto buffer-coated RABiTS substrates by PLD, using conditions described in detail previously [14–17]. Deposition parameters were 248 nm laser wavelength, ~ 3.2 J/cm² laser fluence, 4 Hz laser repetition rate, 6 cm target-to-substrate distance, 780 °C heater block temperature, 88–90% dense 123-only and 211-only targets, 300 m Torr oxygen partial-pressure, and a post-deposition anneal at 500 °C and 1 atmosphere of oxygen [17]. An automated target rotation and pulse-triggering system was used to control the deposition sequences, with a period of about 13 s during which the deposition was stopped and different targets were rotated into position. The film thickness for every deposition was measured on reference films deposited onto single crystal substrates. The 211 ‘pseudo-layer’ thickness was calculated assuming a smooth continuous layer, although the thin 211 layer consists of discontinuous and discrete nanoparticles. Unless noted otherwise the film thickness was kept in the range 280–350 nm. A 123 reference film was deposited at ORNL with slightly different PLD conditions: 790 °C substrate temperature, 120 m Torr O_2 partial pressure, 308 nm laser wavelength, 4 J/cm² fluence, 10 Hz repetition rate, and cooling rate 7 °C/min in 580 m Torr O_2 pressure.

Critical transition temperatures (T_c) and transport $J_c(H)$ measurements were made at ORNL and AFRL, with excellent agreement. A 1 $\mu\text{V}/\text{cm}$ criterion was used for J_c . Whole width measurements and macrobridges of about 0.1–0.5 cm width were used for J_c measurements. Characterization of microstructures was performed with scanning electron microscopy (SEM, FEI–Sirion).

3. Results and discussion

Microstructural properties of the film surfaces are shown in Figs. 1 and 2, for different SEM magnifications to demonstrate features at varying length scales. Multilayer films had unique microstructural features compared to 123-only films, that are summarized in the following points.

(1) Void formations 0.1–1 μm in size were notably enhanced for multilayer films compared to 123-only films, as shown in Figs. 1 and 2. Voids were observed as dark-or-black colored defects in SEM micrographs. Void areic number densities were higher for multilayer films than YBCO-only films; $\sim 4 \times 10^8$ defects cm^{-2} compared to $\sim 1 \times 10^8$ defects cm^{-2} respectively, as measured on grains with highest density of voids. Formations

of voids occurred particularly on grains with high densities of film-ledge formations, as shown in Fig. 2(b) bottom and left grains. About 30–40% of multilayer film grains showed moderate increase of void densities (e.g. Fig. 1(b) high magnification image, lower-left grain), while less than 10% of the grains showed large increases of void defects enough to presumably limit current flow (Fig. 1(b) high magnification image, bottom-middle grain).

(2) Surface particulate defects, which typically are observed in PLD films as $\sim 0.5 \mu\text{m}$ size white-color defects (Fig. 1(a) bottom, upper right grain), were greatly reduced with multilayer films. However elimination of these defects is not expected to have a great effect on flux pinning, as their areic number density is very low.

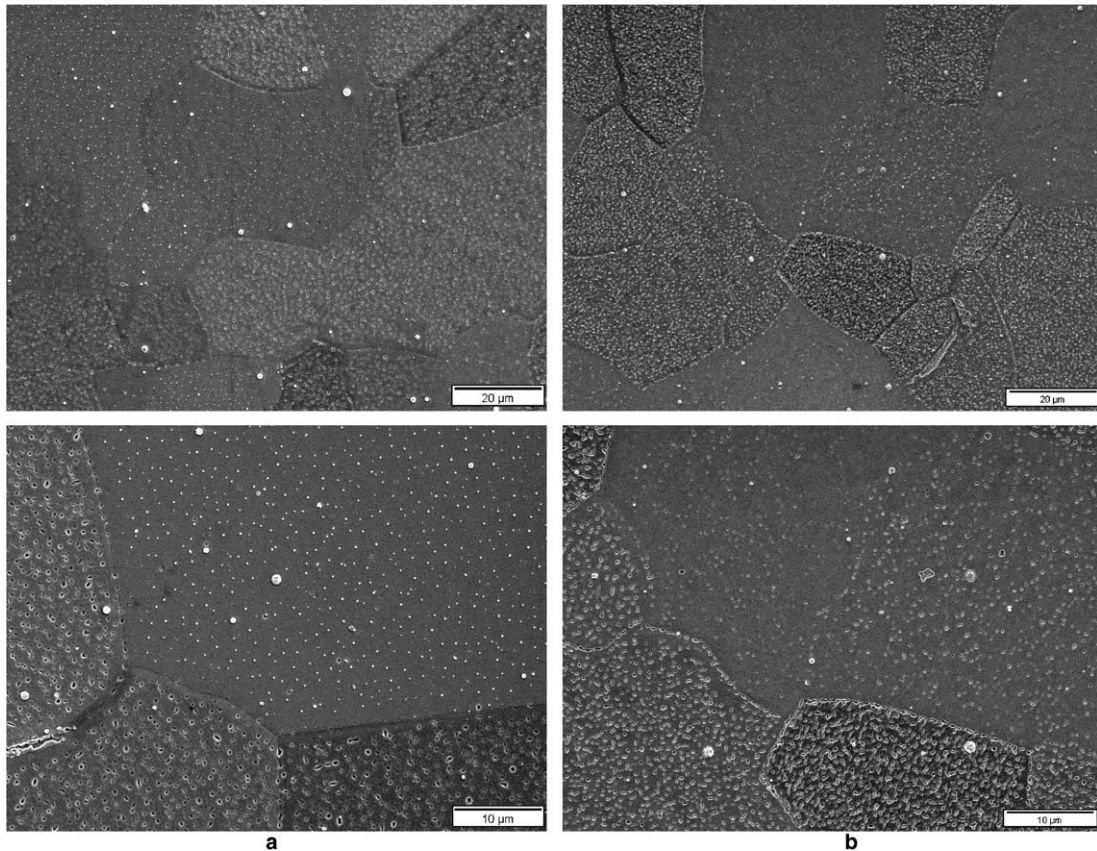


Fig. 1. SEM micrographs of films deposited on ORNL RABiTS substrates: (a) YBCO-only at 1k \times (upper) and 2k \times (lower) magnifications, and (b) (211 \sim 1.0 nm/123 \sim 10.0 nm) \times 25 multilayer at similar magnifications.

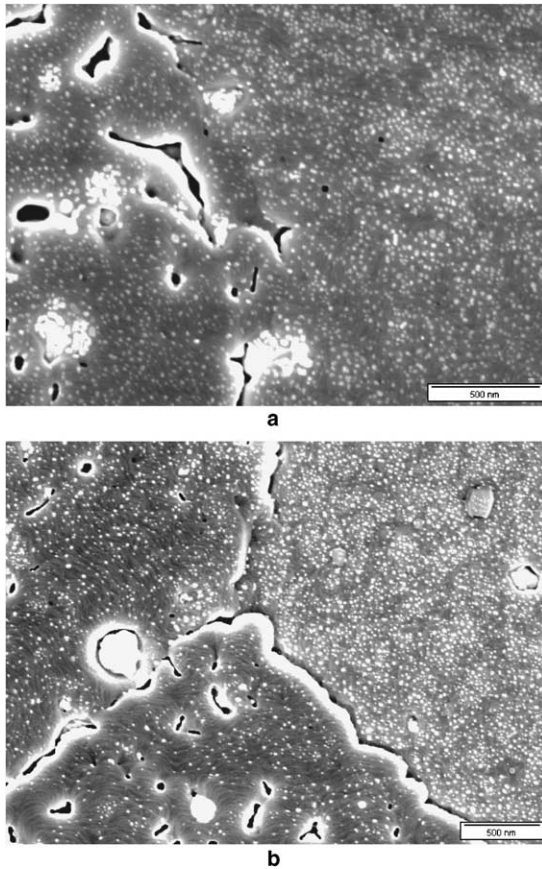


Fig. 2. High magnification SEM micrographs of different grains and grain boundaries of a $(211_{\sim 1.0 \text{ nm}}/123_{\sim 10 \text{ nm}}) \times 26$ film deposited on AMSC RABiTS substrate. Nanoparticles are white-spherical-shaped objects about 10–15 nm in size, and voids are black objects approximately 0.1–1.0 μm in size. Note especially the ~ 20 –40 nm spaced film-ledge formations in the left-and-bottom grains of (b), and reduced nanoparticle formation and enhanced void formations on those grains. Nanoparticle formation on the right-side grain of (b) is almost exactly similar as on SrTiO_3 or LaAlO_3 single crystal substrates [15].

(3) Intragrain nanoparticle formations varied noticeably depending on the underlying film and grain structures, as shown in Fig. 2. Nanoparticles were observed as white-color spherical-shaped particles approximately 10–15 nm in diameter with areic number densities $>10^{11}$ particles cm^{-2} , similar as on single crystal substrates [15]. Nanoparticle formations were reduced particularly on grains that exhibited high-densities or close-spacings of

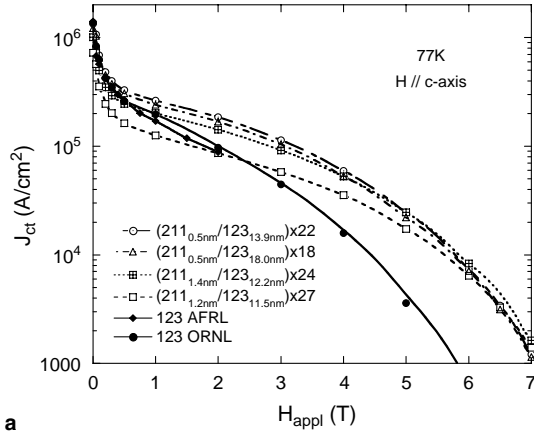
film-ledge formations. Film-ledges with close-spacing intervals of about 20–40 nm can be observed by close examination in Fig. 2(b) bottom-and-left grains. The film ledges deposited with such close-spacings presumably indicate the presence of underlying tilted Ni grains. Film ledges are also observed on single crystal substrates, however with much larger spacing of ~ 200 nm. The positive or negative effects of depositing multilayer films on tilted substrates are unknown yet, but are being studied in detail [18]. The reason for reduced nanoparticle formations on (tilted) Ni-grains is not precisely known, however could result from non-preferential growth of 211 from relative-tilting of the plume and inclined substrate deposition (ISD) effects [13], or non-preferred growth of 211 at the base of film-ledge sites because of the 2–7% lattice mismatch of 211–123 [15]. The film-ledge bases with 90° step junctions have doubly active 123 film growth surfaces, which may suppress formation of 211 from the vapor phase, whereas the top-edge and top-point-edge of the film-ledge surfaces are energetically attractive sites for 211 coalescence and ripening [15].

(4) Close inspection of grain boundaries (Fig. 2) indicated that nanoparticle formation there was varied, with occasional enhancement at the edges. Some preferential growth at the grain boundaries might be speculated to occur based on the growth processes described above. However only minimal preferential growth was observed, possibly because of the complexity of the grain boundaries.

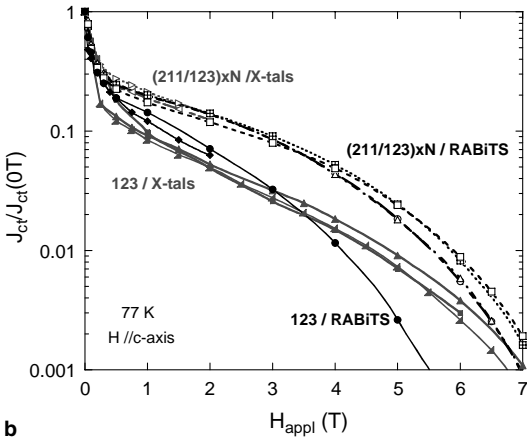
To summarize the microstructural properties of multilayer films compared to 123-only films, moderate differences were observed on about 30–40% of the grains, and severe differences were noted on $<10\%$ of the grains.

Transition temperatures of multilayer $\{(211_{\sim 1.2 \text{ nm}}/123_{\sim 11 \text{ nm}}) \times N, N = 20\text{--}25\}$ and 123-only samples were virtually the same ~ 89 –90 K measured at AFRL by ac susceptibility methods [16] and at ORNL by transport methods. This is slightly different from T_c measurements on single crystals where a small decrease of ~ 1 –2 K was measured for similar multilayer compared to 123 films [15]. This result suggests the template and/or CeO_2 cap buffer layer is having a noticeable effect on the T_c values.

The effect of multilayer depositions on $J_c(77\text{ K}, H)$ properties are shown in Fig. 3(a). Multilayer films had significantly increased $J_c(H)$ values for $H > 0.3\text{ T}$ when compared to 123-only films, and the increase was consistently measured for different 211 and 123 thickness parameters.



a



b

Fig. 3. (a) Transport critical current density (J_{ct}) at 77 K for multilayer films compared to 123 reference films deposited at AFRL and ORNL; all films were deposited on ORNL Ni–W substrates, and (b) normalized J_{ct} measurements for samples from Fig. 3(a) (black) compared to similar samples deposited on single crystal substrates (gray). 123-only films have solid lines and multilayer films are dotted lines: 123 films deposited on LaAlO_3 at AFRL (\blacktriangle , \blacktriangle) and processed by the BaF_2 method at ORNL (\blacksquare) all with $J_c(0\text{ T})$ from 4 MA/cm² to 5 MA/cm² [15], and $(211_{0.6\text{ nm}}/123_{11.7\text{ nm}}) \times 21$ on LaAlO_3 (ω , ω) with $J_c(0\text{ T})$ from 4 MA/cm² to 4.5 MA/cm² [15]. One film with the lowest J_c (\square) was noticeably bent, which could have lowered $J_c(H)$ for all H values. J_c was calculated using the entire film thickness.

The increase of $J_c(H)$ was 2-fold at 2 T, and greater than 6-fold at fields of 6 T. Films with lower 211 layer thickness had increased self-and-intermediate-field J_c s; e.g. $\sim 30\%$ average increase comparing 211 ~ 0.5 to $\text{nm} - \geq 1.0\text{ nm}$, similar to results on single crystal substrates [15]. Self-field J_c s were the same for films deposited on Ni–W and Ni substrates prepared at all three labs.

The question of whether the pinning enhancements in Fig. 3(a) on RABiTS substrates were the same as on single crystal substrates was better addressed in Fig. 3(b), which compares $J_c(H)/J_c(0\text{ T})$ plots of multilayer and 123-only films deposited on both substrates. Fig. 3(b) indicates that the normalized $J_c(H)$ for multilayers was the same for both substrates at least for fields up to 2 T. The only effect of the RABiTS substrates was to decrease the self-field J_c s; e.g. from about 4–5 MA/cm² on single crystal substrates to about 1.3–1.5 MA/cm² on RABiTS for both multilayer (211 $\sim 0.5\text{ nm}$) and 123-only films. The 123-only films had slightly better (relative) pinning at intermediate fields 1–3 T on RABiTS compared to single crystal substrates. Both multilayer and 123-only films had poorer $J_c(H)$ performance at higher fields ($> 6\text{ T}$) on RABiTS compared to single-crystal substrates.

To fully understand how the $J_c(H)$ properties correlate to the microstructure of the multilayer films on RABiTS substrate, the $J_c(H)$ intragrain properties on every type and orientation of Ni grains must be known, as well as the effect of 211 nanoparticle addition on grain boundary transport mechanisms. Such detailed information is presently not available, therefore it is not possible to predict the full-sample-length $J_c(H)$ results on RABiTS substrates shown herein. The primary conclusion that can be reached from the present studies is to understand that changing the templates did not significantly change the $J_c(H)$ properties of the multilayer films, compared to those measured on single crystal substrates. The summation of $J_c(H)$ properties across many different Ni grains and grain orientations is remarkably close to the results expected from $J_c(H)$ measured on single crystal substrates, assuming the normal decrease of J_c expected from grain misorientations on RABiTS substrates [4]. Assuming the

intragrain multilayer $J_c(H)$ properties were not strongly affected by different Ni grain orientations or averaged by a distribution of negative or positive $J_c(H)$ variances, this also indirectly suggest the addition of nanoparticles does not strongly affect the grain boundary transport current limiting mechanisms which are already known [4].

4. Conclusions

In conclusion, the $J_c(H)$ properties of $(211/123) \times N$ multilayer films on RABiTS substrates showed significant increases >6-fold compared to 123-only films. Normalized $J_c(H)/J_c(0\text{ T})$ plots indicate the pinning performance was neither (further) enhanced nor decreased as a consequence of switching templates from single-crystal to RABiTS substrates. While moderate-to-severe microstructural differences were observed on the RABiTS substrates particularly with enhanced void formations, the differences apparently did not have great effect on the overall pinning performance. For example, while current flows might have been restricted across selected grains, enhancements might have occurred with other grains to offset those effects.

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